

14-09-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

04/05/01  
10653 U.S. PTO

Atty. Dkt. No: 5650-00201

Inventor(s):

Edward Stanley Plumer, Bijan  
Sayyar-Rodsari, Carl Anthony  
Schweiger, Ralph Bruce Ferguson,  
II, William Douglas Johnson and  
Celso Axelrud

Title: SYSTEM AND METHOD  
FOR ENTERPRISE  
MODELING,  
OPTIMIZATION AND  
CONTROL

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CERTIFICATE OF EXPRESS MAIL  
UNDER 37 C.F.R. § 1.10

"Express Mail" mailing label number: EL82201301103  
DATE OF DEPOSIT: April 5, 2001

I hereby certify that this paper or fee is being deposited with the  
United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 C.F.R. § 1.10 on the date indicated  
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Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

*[Signature]*  
Derrick Brown

09/827838  
04/05/01  
10653 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

Application Elements

1. ☒ Filing Fee

The filing fee is calculated as shown below.

Total Claims	62	-20=	42	x \$18.00=	\$756.00
Independent Claims	8	-3 =	5	x \$80.00=	\$400.00
Multiple Dependent Claims				Fee:	
Basic Fee:					\$710.00
Sub-Total:					\$1,866.00
Reduction by 50% for Small Entity:					\$933.00
Assignment Fee					\$40.00
Total:					\$973.00

☒ Fee authorizations in the amount of \$973.00 are enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/5650-00201/JCH.

2. ☒ Specification

38 page(s) of specification; 15 page(s) of claims, 1 page(s) of abstract

3. ☒ Drawings

Formal Figure(s) 1-29 on 29 sheet(s)

4. ☒ Oath or Declaration

☒ Newly executed

☐ Copy from a prior application (see 37 C.F.R. § 1.63(d))

Deletion of Inventor(s) (in continuation or divisional applications):

☐ Delete the following inventor(s) named in the prior non-provisional application:

☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. ☐ The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.

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6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- ☐ Computer Readable copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies
8. ☒ Assignment Papers
9. Power of Attorney
- ☒ Is attached.
- ☐ The power of attorney appears in the original papers of the prior application.
- ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
10. ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS Citations
11. Amendments
- ☐ A preliminary amendment is enclosed.
- ☐ Cancel in this application claim(s) \_\_\_\_\_ before calculating the filing fee. At least one independent claim is retained for filing purposes.
- ☐ Amend the specification by inserting before the first line the sentence: \_\_\_\_\_.
12. ☒ Return Receipt Postcard
13. Small Entity Status
- ☒ A small entity status is claimed.
- ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- ☐ Is no longer claimed.
14. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. § 119(a)-(d)
15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time
16. ☐ Other:

Address all future correspondence to:

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Signature


Name

Registration No.

Date

35,198

4/5/2001

  
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ATTORNEY FOR APPLICANT(S)